

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Soo-Kyung Kim
Serial No:
Filed: Herewith
For: NEAR FIELD OPTICAL RECORDING DEVICE

Art Unit:
Examiner:



Box PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Transmitted herewith for filing is the patent application identified above.

- ☒ 5 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 16 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to LG Electronics Inc. ☒ is enclosed ☐ will follow.
- ☒ An associate power of attorney ☒ is enclosed ☐ will follow.
- ☐ Inventor(s) or Assignee(s) is entitled to small entity status under 37 C.F.R. §§ 1.9 & 1.27.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☒ A certified copy of Korean Patent Application No. 2000-76436 filed December 14, 2000 from which priority is claimed under 35 U.S.C. § 119 ☒ is enclosed ☐ will follow.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

CALCULATION OF FEES									
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE	21	-20	1	LG=\$18 SM=\$9	\$ 0	\$ 18		
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$84 SM=\$42	\$ 0	\$ 0		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 18	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$	
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 758	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$ 40
	*LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS]								


- ☒ A check in the amount of \$ 758 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.

☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. _____. A copy of this sheet is enclosed.

- ☐ Any additional filing fees required under 37 C.F.R. § 1.16
☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,
LEE & HONG

Date: December 10, 2001

By: 
Jonathan Y. Kang, Reg. No. 38,199
Amit Sheth, Reg. No. P-50,176
Attorney for Applicant(s)

221 N. Figueroa Street, 11th Floor
Los Angeles, California 90012
Telephone: (213) 250-7780
Facsimile: (213) 250-8150

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J1132 U.S. PTO

12-12-01

A

PATENT
Attorney Docket No: 2080-3-56

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Soo-Kyung Kim
Serial No:
Filed: Herewith
For: NEAR FIELD OPTICAL RECORDING DEVICE

Parent Art Unit:
Examiner: --

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10/013081
12/10/01

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"Express Mail" Mailing Label No. EF054878383US
Date of Deposit: December 10, 2001

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P.O. Box 2327
Arlington, VA 22202

Dear Sir:

I hereby certify that

- ☒ one copy of a letter of transmittal
- ☒ check in amount of \$ 758 as filing fee
- ☒ patent application (16 page(s) of specification; 21 claim(s); 1 page(s) of abstract
- ☒ 5 sheet(s) of formal drawings
- ☒ An assignment of the invention to LG Electronics Inc. ☒ is enclosed ☐ will follow.
- ☒ executed Declaration and Power of Attorney
- ☒ certified copy of patent Application No. 2000-76436 filed December 14, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119 ☐ will follow
- ☒ Associate Power of Attorney
- ☐ IDS transmittal
- ☐ PTO-1449 form
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
P.O. Box 2327
Arlington, VA 22202

Date: December 10, 2001
Lee & Hong
221 N. Figueroa Street, 11th Floor
Los Angeles, California 90012-2601
Telephone: 213-250-7780
Facsimile: 213-250-8150

Amit Sheth
Name of person mailing papers
Amit Sheth
Signature